

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

X : Chapter 11  
: Case No. 08-13555 (SCC)  
: (Jointly Administered)  
: Ref. Docket No. 53689  
:  
:  
X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
                         ) ss.:  
COUNTY OF NEW YORK )

KONSTANTINA HAIDOPOULOS, being duly sworn, deposes and says:

1. I am employed as a Senior Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 8, 2016, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated November 8, 2016, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Konstantina Haidopoulos  
Konstantina Haidopoulos

Sworn to before me this  
8<sup>th</sup> day of November, 2016  
/s/ Panagiota Manatakis

Notary Public, State of New York  
No. 01MA6221096  
Qualified in Queens County  
Commission Expires April 26, 2018

**EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,  
Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000112201633 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000101902



ELLS BANK AG  
F/K/A ALIZEE BANK AG  
PRINZ-EUGEN-STRASSE 8-10  
A-1040 VIENNA  
AUSTRIA

Please note that your claim # 55042 in the above referenced case and in the amount of \$565,879.83 allowed at \$567,481.64 has been transferred (unless previously expunged by court order)

UBS AG  
TRANSFEROR: ELLS BANK AG  
ATTN: HUGO KOLLER  
BAHNHOFSTRASSE 45  
8001 ZURICH  
SWITZERLAND

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 53689 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/08/2016

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 8, 2016.

**EXHIBIT B**

**Claim Name****Address Information**

ELLS BANK AG

F/K/A ALIZEE BANK AG, PRINZ-EUGEN-STRASSE 8-10, A-1040 VIENNA AUSTRIA

UBS AG

TRANSFEROR: ELLS BANK AG, ATTN: HUGO KOLLER, BAHNHOFSTRASSE 45, 8001 ZURICH  
SWITZERLAND**Total Creditor Count 2**